



Data Structures

Binary Trees

Teacher : Wang Wei

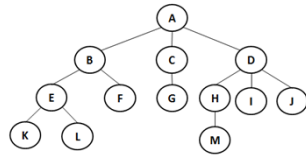
- 1. Ellis Horowitz, etc., Fundamentals of Data Structures in C++
- 2. 殷人昆, 数据结构
- 3. 金远平, 数据结构
- 4. <http://inside.mines.edu/~dmehta/>

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Linear Lists and Trees

- Linear lists are useful for **serially** ordered data
 - $(e_0, e_1, e_2, \dots, e_{n-1})$
 - Sample : days of week, months in a year, students in this class
- Tree structure
 - the data are organized in a hierarchical manner
- Trees are useful for **hierarchically** ordered data



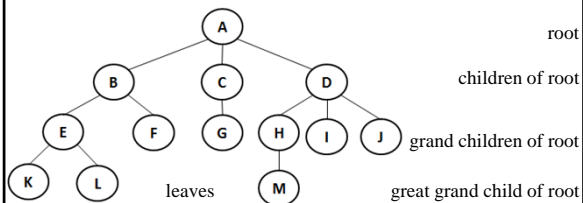
• Sample :

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Hierarchical Data and Trees

- In the hierarchy
 - **root** : the element at the top of the hierarchy
 - **children** : elements next in the hierarchy of the root
 - **grandchildren** : elements next in the hierarchy of the root, and so on
 - **leaves** : elements that have no children



root

children of root

grand children of root

leaves

great grand child of root

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Definition of Tree

- A tree t is a finite **nonempty** set of elements
 - One or more nodes
- One of these elements is called the **root**
 - A specially designated node
- The remaining elements, if any, are partitioned into trees, which are called the **subtrees** of t
disjoint sets $T_1, \dots, T_n \quad n \geq 0$

$$T = \{r, T_1, T_2, \dots, T_n\}, n \geq 0$$

– Notice : this is a recursive definition

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Binary Tree

- Finite (possibly empty) collection of elements.
- A **nonempty** binary tree has a **root** element.
- The remaining elements (if any) are partitioned into **two** binary trees.
- These are called the **left** and **right** subtrees of the binary tree.

$$T = \begin{cases} \Phi, & n = 0 \\ \{r, T_1, T_2, \dots, T_n\}, & n > 0 \end{cases}$$



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Abstract Data Type of Binary Tree

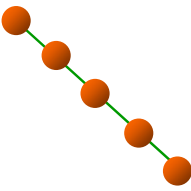
```
template <class T>
class BinaryTree
{
public:
    BinaryTree();
    ~BinaryTree(BinaryTree<T> &bt1, T & root, BinaryTree<T> &bt2);
    bool IsEmpty();
    BinaryTree<T> leftSubtree();
    BinaryTree<T> rightSubtree();
    T RootData();
};
```

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Minimum Number Of Nodes

- Minimum number of nodes in a binary tree whose height is k
- At least one node at each of first k levels



a skewed tree

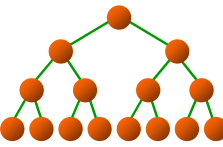
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Maximum Number Of Nodes

- All possible nodes at first k levels are present.

Maximum number of nodes = $1 + 2 + 4 + 8 + \dots + 2^{k-1}$
 $= 2^k - 1$



a complete tree

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Properties 1 : maximum number of nodes

- The maximum number of nodes on level i ($i \geq 1$) of a binary tree is 2^{i-1}

Properties 2 : maximum number of nodes

- The maximum number of nodes in a binary tree of depth k ($k \geq 1$) is $2^k - 1$

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Properties 3 : leaf nodes and degree-2 nodes

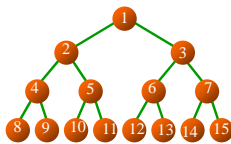
- Relation between number of leaf nodes and degree-2 nodes
 - For any nonempty binary tree, T , if
 - n_0 : the number of leaf nodes
 - n_2 : the number of nodes of degree 2
 - Then $n_0 = n_2 + 1$

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Full Binary Tree

- Definition
 - A full binary tree of depth k is a binary tree of depth k having $2^k - 1$ nodes
- Numbering Nodes
 - Number the nodes 1 through $2^k - 1$
 - Number by levels from top to bottom
 - Within a level number from left to right

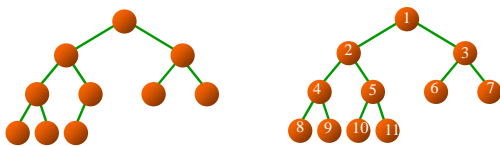


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Complete Binary Tree

- Definition
 - A complete binary tree with n nodes and depth k
 - iff its nodes correspond to the nodes numbered 1 to n in the full binary tree of depth k having $2^k - 1$ nodes
- Start with a full binary tree that has at least n nodes
- Number the nodes as described earlier
- The binary tree defined by the nodes numbered 1 through n is the unique n node complete binary tree



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Properties 4 : Number Of Nodes & Height

- Let n be the number of nodes in a binary tree whose height is k

$$2^{k-1} - 1 < n \leq 2^k - 1$$

$$k - 1 < \log_2(n + 1) \leq k$$

$$k = \lceil \log_2(n + 1) \rceil$$

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Abstract Data Type of Binary Tree

```
template <class T>
class BinaryTree {
//对象: 结点的有限集合, 二叉树是有序树
public:
    BinaryTree (); //构造函数
    BinaryTree ( BinTreeNode<T> *lch,
                BinTreeNode<T> *rch,
                T item );
//构造函数, 以item为根, lch为左子树, rch为右子树
//构造一棵二叉树
    int Height (); //求树深度或高度
    int Size (); //求树中结点个数
```

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```
BinTreeNode<T> *Parent (BinTreeNode<T> *t);
//求结点 t 的双亲
BinTreeNode<T> *LeftChild (BinTreeNode<T> *t);
//求结点 t 的左子女
BinTreeNode<T> *RightChild (BinTreeNode<T> *t);
//求结点 t 的右子女

bool Insert (T item); //在树中插入新元素

bool Remove (T item); //在树中删除元素
bool Find (T& item); //判断item是否在树中
bool getData (T& item); //取得结点数据
bool IsEmpty (); //判二叉树空否
```

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```

BinTreeNode<T> *getRoot (); //取根

void preOrder (void (*visit) (BinTreeNode<T> *t));
//前序遍历, visit是访问函数
void inOrder (void (*visit) (BinTreeNode<T> *t));
//中序遍历, visit是访问函数
void postOrder (void (*visit) (BinTreeNode<T> *t));
//后序遍历, (*visit)是访问函数
void levelOrder (void (*visit)(BinTreeNode<T> *t));
//层次序遍历, visit是访问函数
};

```

Binary Tree Representation

- Array representation
- Linked representation

Sequential Representation

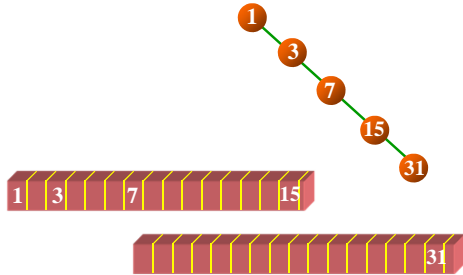
- Number the nodes using the numbering scheme for a full binary tree
- The node that is numbered *i* is stored in array `tree[i]`

Complete binary tree with 10 nodes

Binary tree with 10 nodes

Skewed tree: skewed to the right

- An n node binary tree needs an array whose length is between $n+1$ and 2^n



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Properties : 5 Node Number

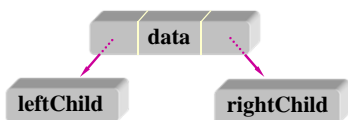
- If a complete binary tree with n nodes is represented sequentially, then for any node with index i ($2i + 1 > n$) have
 - if $i \neq 1$, $parent(i)$ at $\lfloor i/2 \rfloor$
 - if $i = 1$, i is at the root and not parent
 - if $2i \leq n$, $leftChild(i)$ at $2i$
 - if $2i > n$, i has no left child
 - if $2i + 1 \leq n$, $rightChild(i)$ at $2i + 1$
 - if $2i + 1 > n$, i has no right child

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Linked Representation

- Each binary tree node is represented as an object whose data type is `TreeNode`
- The space required = $n * (\text{space required by one node})$



Binary Linked

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Binary Tree Node

```

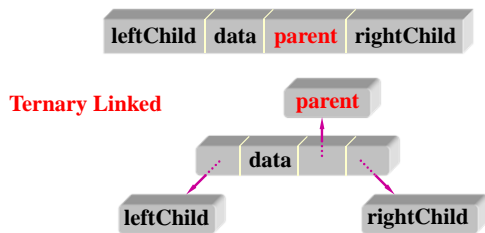
<class T> class BinaryTree; // declaration
<class T>
BinTreeNode
{ friend class BinaryTree<T>; // friend class
  T data;
  BinTreeNode<T> *leftChild;
  BinTreeNode<T> *rightChild;
  BinTreeNode(){ leftChild = rightChild = NULL; }
  BinTreeNode(T d)
  { data = d; leftChild = rightChild =NULL; }
};
    
```

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Linked Representation (con.)

If it is necessary to be able to determine the parent of random nodes, then a fourth filed **parent**, may be include in **TreeNode**

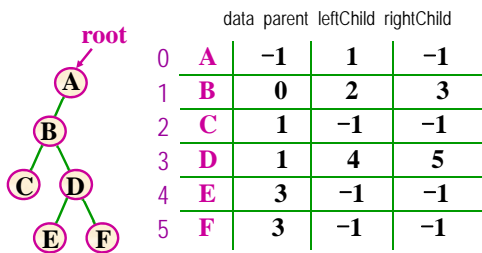


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Linked Representation using Array

A **binary tree node** is represented as an object whose data type is **TreeNode** using a **one-dimensional array** representation in memory



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```

template <class T>
struct BinTreeNode {           //二叉树结点类定义
    T data;                    //数据域
    BinTreeNode<T> *leftChild, *rightChild; //左子女、右子女指针域
    BinTreeNode ()             //构造函数
    { leftChild = NULL; rightChild = NULL; }

    BinTreeNode (T x, BinTreeNode<T> *l = NULL,
                  BinTreeNode<T> *r = NULL)
    { data = x; leftChild = l; rightChild = r; }
};

```

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```

template <class T>
class BinaryTree {             //二叉树类定义
public:
    BinaryTree () : root (NULL) { } //构造函数
    BinaryTree (T value) : RefValue(value), root(NULL)
    { } //构造函数
    BinaryTree (BinaryTree<T>& s); //复制构造函数
    ~BinaryTree () { destroy(root); } //析构函数

    bool isEmpty () { return root == NULL; } //判二叉树空否
    int Height () { return Height(root); } //求树高度
    int Size () { return Size(root); } //求结点数
};

```

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```

BinTreeNode<T> *Parent (BinTreeNode <T> *t)
{ return (root == NULL || root == t) ?
  NULL : Parent (root, t); } //返回双亲结点

BinTreeNode<T> *LeftChild (BinTreeNode<T> *t)
{ return (t != NULL) ? t->leftChild : NULL; }
//返回左子女

BinTreeNode<T> *RightChild (BinTreeNode<T> *t)
{ return (t != NULL) ? t->rightChild : NULL; }
//返回右子女

BinTreeNode<T> *getRoot () const { return root; }
//取根

```

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```

void preOrder (void (*visit) (BinTreeNode<T> *t))
{ preOrder (root, visit); } //前序遍历
void inOrder (void (*visit) (BinTreeNode<T> *t))
{ inOrder (root, visit); } //中序遍历
void postOrder (void (*visit) (BinTreeNode<T> *t))
{ postOrder (root, visit); } //后序遍历
void levelOrder (void (*visit)(BinTreeNode<T> *t));
//层次序遍历

int Insert (const T item); //插入新元素
BinTreeNode<T> *Find (T item) const; //搜索

```

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```

protected:
BinTreeNode<T> *root; //二叉树的根指针
T RefValue; //数据输入停止标志
void CreateBinTree (istream& in,
BinTreeNode<T> *& subTree);
//从文件读入建树
bool Insert (BinTreeNode<T> *& subTree, T& x);
//插入
void destroy (BinTreeNode<T> *& subTree);
//删除
bool Find (BinTreeNode<T> *subTree, T& x);
//查找

```

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```

BinTreeNode<T> *Copy (BinTreeNode<T> *r); //复制
int Height (BinTreeNode<T> *subTree); //返回树高度
int Size (BinTreeNode<T> *subTree); //返回结点数
BinTreeNode<T> *Parent (BinTreeNode<T> *
subTree, BinTreeNode<T> *t);
//返回父结点
BinTreeNode<T> *Find (BinTreeNode<T> *
subTree, T& x) const; //搜寻x

```

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```

void Traverse (BinTreeNode<T> *subTree, ostream& out);
                //前序遍历输出
void preOrder (BinTreeNode<T>& subTree,
               void (*visit) (BinTreeNode<T> *t));
                //前序遍历
void inOrder (BinTreeNode<T>& subTree,
             void (*visit) (BinTreeNode<T> *t));
                //中序遍历
void postOrder (BinTreeNode<T>& Tree,
               void (*visit) (BinTreeNode<T> *t));
                //后序遍历

```

```

friend ostream& operator >> (istream& in,
                             BinaryTree<T>& Tree); //重载操作: 输入
friend ostream& operator << (ostream& out,
                             BinaryTree<T>& Tree); //重载操作: 输出
};

```

```

template <class T>
BinTreeNode<T> *BinaryTree<T>::Parent (BinTreeNode <T> *subTree,
                                       BinTreeNode <T> *t)
{
    //私有函数: 从结点 subTree 开始, 搜索结点 t 的双亲,
    //若找到, 则返回双亲结点地址; 否则, 返回NULL
    if (subTree == NULL) return NULL;
    if (subTree->leftChild == t || subTree->rightChild == t)
        return subTree; //找到, 返回父结点地址

    BinTreeNode <T> *p;

    if ((p = Parent (subTree->leftChild, t)) != NULL)
        return p; //递归在左子树中搜索
    else return Parent (subTree->rightChild, t);
    //递归在右子树中搜索
}

```

```

template<class T>
void BinaryTree<T>::
    destroy (BinTreeNode<T> * subTree)
{
    //私有函数: 删除根为subTree的子树
    if (subTree != NULL) {
        destroy (subTree->leftChild); //删除左子树
        destroy (subTree->rightChild); //删除右子树
        delete subTree; //删除根结点
    }
}

```

```

template<class T>
istream& operator >> (istream& in, BinaryTree<T>& Tree)
{
    //重载操作: 输入并建立一棵二叉树Tree
    // in是输入流对象
    CreateBinTree (in, Tree.root); //建立二叉树
    return in;
}

```



Data Structures

Binary Trees Traversal

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1. Ellis Horowitz, etc., Fundamentals of Data Structures in C++
2. 殷人昆, 数据结构
3. 金远平, 数据结构
4. <http://inside.mines.edu/~dmehta/>

Binary Tree Traversal

- Many binary tree operations are done by performing a **traversal** of the binary tree
- In a traversal, each element of the binary tree is **visited exactly once**
- During the **visit** of an element
 - all **action** with respect to this element is taken
 - **display**, make a clone, evaluate the operator, etc.

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Binary Tree Traversal Methods

- When at a node, let:
 - **L** : moving left child
 - **V** : visiting the node
 - **R** : moving right child
- There are six possible combinations of traversal
 - **traverse left before right** **traverse right before left**
 - **preorder** : **VLR** or **VRL**
 - **inorder** : **LVR** or **RVL**
 - **postorder** : **LRV** or **RLV**



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Binary Tree Traversal Methods

- **preorder**
- **inorder**
- **postorder**
- **level-order**

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Program : preorder (recursive)

```
template <class T>
void BinaryTree<T>::PreOrder (BinTreeNode<T> * subTree,
                             void (*visit) (BinTreeNode<T> *t))
{
    if (subTree != NULL)
    {
        visit (subTree); //访问根结点
        PreOrder (subTree->leftChild, visit); //遍历左子树
        PreOrder (subTree->rightChild, visit); //遍历右子树
    }
}
```

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Program : inorder (recursive)

```
template <class T>
void BinaryTree<T>::InOrder (
    BinTreeNode<T> * subTree,
    void (*visit) (BinTreeNode<T> *t) )
{
    if (subTree != NULL)
    {
        InOrder (subTree->leftChild, visit); //遍历左子树
        visit (subTree); //访问根结点
        InOrder (subTree->rightChild, visit); //遍历右子树
    }
}
```

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Program : Postorder

```
template <class T>
void BinaryTree<T>::PostOrder (BinTreeNode<T> * subTree,
                               void (*visit) (BinTreeNode<T> *t) )
{
    if (subTree != NULL )
    {
        PostOrder (subTree->leftChild, visit); //遍历左子树
        PostOrder (subTree->rightChild, visit); //遍历右子树
        visit (subTree); //访问根结点
    }
}
```

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Level-Order Traversal

- Visits the nodes using the ordering
 - Visit the root first
 - Then visiting the nodes at each level from the leftmost node to the rightmost node
- Requires a **queue**

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Level Order

Let **t** be the tree root
while (**t** != NULL)
{
 visit **t** and put its children on a **FIFO queue**;
 FIFO queue is empty, set **t** = NULL;
 otherwise, **pop** a node from the **FIFO queue** and call it **t**;
}

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Operations : Height

//私有函数：利用二叉树后序遍历算法计算二叉树的高度或深度

```
template <class T>
int BinaryTree<T>::Height(BinTreeNode<T> * subTree) const
{
  if (subTree == NULL) return 0; //空树高度为0
  else {
    int i = Height(subTree->leftChild);
    int j = Height(subTree->rightChild);
    return (i < j) ? j+1 : i+1;
  }
}
```

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Operations : Size

//私有函数：利用二叉树后序遍历算法计算二叉树的结点个数

```
template <class T>
int BinaryTree<T>::Size(BinTreeNode<T> * subTree) const
{
    if (subTree == NULL) return 0;    //空树
    else return 1
        + Size(subTree->leftChild)
        + Size(subTree->rightChild);
}
```



Data Structures

Binary Trees Construction

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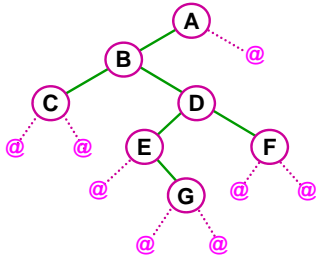
1. Ellis Horowitz, etc., Fundamentals of Data Structures in C++
2. 殷人昆, 数据结构
3. 金远平, 数据结构
4. <http://inside.mines.edu/~dmehta/>

Binary Tree Construction

- **Suppose**
 - the **elements** in a binary tree are **distinct**
- **Can you construct the binary tree from which a given traversal sequence came?**
 - Such as
 - Method 1 : **preorder** traversal sequence
 - Method 2: **preorder** and **inorder** sequence

Method 1 : preorder traversal sequence

A B C @ @ D E @ G @ @ F @ @ @



Empty nodes such as '@' or '-1'

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```

template<class T>
void BinaryTree<T>::CreateBinTree (ifstream& in,
                                   BinTreeNode<T> *& subTree)
{
    //私有函数: 以递归方式建立二叉树
    T item;
    if (!in.eof ()) {           //未读完, 读入并建树
        in >> item;           //读入根结点的值
        if (item != RefValue) {
            subTree = new BinTreeNode<T>(item);
            //建立根结点
            if (subTree == NULL)
                {cerr << "存储分配错!" << endl; exit (1);}
        }
    }
}
    
```

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```

        CreateBinTree (in, subTree->leftChild);
        //递归建立左子树
        CreateBinTree (in, subTree->rightChild);
        //递归建立右子树
    }
    else subTree = NULL;
    //封闭指向空子树的指针
}
}
    
```

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Binary Tree Construction

Method 2

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Binary Tree Construction

- When a traversal sequence has more than one element, the binary tree is **not uniquely** defined
- Therefore, the tree from which the sequence was obtained **cannot** be reconstructed **uniquely**
- Can you **construct** the binary tree, given **two traversal sequences**?
- **Depends on** which two sequences are given, such as **preorder** and **inorder** sequences, can **construct** a **uniquely** binary tree
- Suppose : for a same binary tree
 - **preorder** sequence **A B C D E F G H I**
 - **inorder** sequence **B C A E D G H F I**

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Inorder and Preorder

- Scan the **preorder** left to right using the **inorder** to separate left and right subtrees
- inorder : **B C A E D G H F I**
- preorder : **A B C D E F G H I**

```

graph TD
    A((A)) --- BC[BC]
    A --- EDGHFI[EDGHFI]
  
```

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Constructing a binary tree from its *inorder* and *preorder*

Inorder : **BCAEDGHFI**
 Preorder : **ABCDEFGHI**

Inorder : **BCAEDGHFI**
 Preorder : **ABCDEFGHI**

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Inorder : **BCAEDGHFI**
 Preorder : **ABCDEFGHI**

Inorder : **BCAEDGHFI**
 Preorder : **ABCDEFGHI**

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Inorder : **BCAEDGHFI**
 Preorder : **ABCDEFGHI**

Inorder : **2,3,1,5,4,7,8,6,9**
 Preorder : **1,2,3,4,5,6,7,8,9**

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Data Structures

Counting Binary Trees

Teacher : Wang Wei

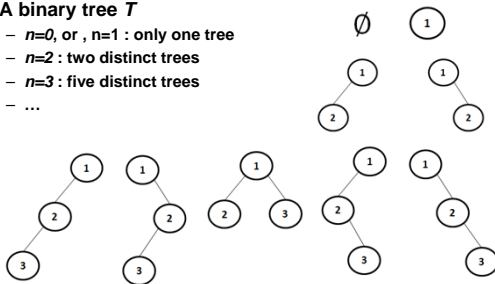
1. Ellis Horowitz, etc., Fundamentals of Data Structures in C++
2. 殷人昆, 数据结构
3. 金远平, 数据结构
4. <http://inside.mines.edu/~dmehta/>

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Distinct binary Trees

- A binary tree T
 - $n=0$, or $n=1$: only one tree
 - $n=2$: two distinct trees
 - $n=3$: five distinct trees
 - ...

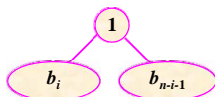


- $n ?$: how many distinct trees are there with n nodes?

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Computer the number of distinct binary trees with n nodes



$$b_n = \sum_{i=0}^{n-1} b_i \cdot b_{n-i-1}$$

Catalan Function

$$b_n = \frac{1}{n+1} C_{2n}^n = \frac{1}{n+1} \frac{(2n)!}{n! \cdot n!}$$

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